

We 1st prove Theorem 4.5 & Theorem 4.6 on roots.  
(Ziller's notes).

$\mathfrak{g} = \mathfrak{k} \otimes \mathbb{C}$   $\mathfrak{k}$  is a semi-simple compact Lie algebra.

(we do not need this for many arguments below. *Namely holds for general semi-simple Lie algebra*)

$\eta = \mathfrak{t} \otimes \mathbb{C}$ ,  $\mathfrak{t}$  is the maximal Abelian algebra (corresponds to a maximum torus)

$\eta$  is called a Cartan sub-algebra.

[satisfies two properties (i) maximum Abelian  
(ii)  $\text{ad}_H$  is semi-simple  $\forall H \in \eta$ ]

If  $\eta'$  is also Abelian,  $\eta \subset \eta' \Rightarrow \forall x+i\gamma \in \eta'$   $[t, x+i\gamma] = [t, x] + i[t, \gamma]$   
 $\stackrel{0}{=} \Rightarrow x, \gamma \in \mathfrak{t} \Rightarrow \eta' = \eta$  by definition.

①

Theorem 4.5 Let  $\Delta$  be the set of roots. Then

①  $\mathfrak{g} = \eta \oplus \sum_{\alpha \in \Delta} \mathfrak{g}_\alpha$   $\mathfrak{g}_\alpha := \{x \mid \text{ad}_H(x) = \alpha(H) \cdot x\}$   
*since if  $x$  satisfies  $\text{ad}_H(x) = 0 \forall H \in \eta \Rightarrow x \in \eta$*  *Namely eigen-space*

② If  $\alpha, \beta \in \Delta \cup \{0\}$ ,  $[\mathfrak{g}_\alpha, \mathfrak{g}_\beta] \subset \mathfrak{g}_{\alpha+\beta}$

③  $\{\alpha \mid \alpha \in \Delta\}$  spans  $\eta^\perp$

④ If  $\alpha, \beta \in \Delta \cup \{0\}$ ,  $\alpha+\beta \neq 0$ ,  $B(\mathfrak{g}_\alpha, \mathfrak{g}_\beta) = 0$

⑤  $\alpha \in \Delta \Rightarrow -\alpha \in \Delta$

⑥  $B|_\eta$  is non-degenerate.

$\mathfrak{g}_\alpha = 0$  if, there is no linear functional  $\alpha(H)$  satisfies  $\alpha(H) = 0$  the condition. Only finite many such  $\alpha(H)$ , with  $\alpha(H) \neq 0$

Pf. ① By the fact that one can simultaneously diagonalize

all  $\text{ad}_H: \mathfrak{g} \rightarrow \mathfrak{g}$  with  $H \in \eta \Rightarrow$

$\exists \alpha_1, \dots, \alpha_n$  such that  $\text{ad}_H(x_k) = \alpha_k(H) x_k$

Some of them are zero functional. the corresponding span  $\{x_i\} = \eta$ .

The reason is: (i)  $\eta \subset \text{Span}\{X_k \mid \text{ad}_H(X_k) = 0\}$

(ii) If  $X$  satisfies  $[H, X] = 0 \quad \forall H \in \eta$

$X = z + iu$  has  $z, u \in \mathfrak{t}$  hence  $X \in \eta$ .

$\mathfrak{g}_\alpha$  now are collections of eigenvectors (& spans) of nonzero eigenvalues.

$$\mathfrak{g} = \eta \oplus \sum_{\alpha \in \Delta} \mathfrak{g}_\alpha \quad \text{follows}$$

by the definition of  $\mathfrak{g}_\alpha$  &  $\Delta$ .

(b)  $X \in \mathfrak{g}_\alpha \quad Y \in \mathfrak{g}_\beta$

$$\Rightarrow [H, X] = \alpha(H)X \quad \forall H$$

$$[H, Y] = \beta(H)Y$$

$$\begin{aligned} [H, [X, Y]] &= -[X, [Y, H]] - [Y, [H, X]] \\ &= [X, Y] \beta(H) - \alpha(H) [Y, X] = (\alpha(H) + \beta(H)) [X, Y] \end{aligned}$$

Hence the result.

(c) If  $\{\alpha(H)\}_{\alpha \in \Delta}$  does not span  $\eta^*$ ,  $\Rightarrow \exists H \in \eta$  such that  $\alpha(H) = 0, \forall \alpha \in \Delta$

This implies  $\forall Y \in \mathfrak{g} \quad Y = z + \sum X_{\alpha_i} \quad X_{\alpha_i} \in \mathfrak{g}_{\alpha_i}$

$$\Rightarrow \text{ad}_H(Y) = \sum_i \text{ad}_H(X_{\alpha_i}) = \sum_i \alpha_i(H) X_{\alpha_i} = 0$$

$\Rightarrow H \in \mathfrak{z}(\mathfrak{g})$ .

(d)  $\forall \mathfrak{g}_\alpha \text{ & } z \in \mathfrak{g}_\beta$

Proof 1:

$$\text{ad}_X \text{ad}_Y(z) \in \mathfrak{g}_{\alpha+\beta+\gamma}$$

Hence if  $\alpha+\beta \neq 0 \Rightarrow \mathfrak{g}_{\alpha+\beta+\gamma} \neq \mathfrak{g}_\gamma$

Proof 2:  $B([H, X_\alpha], X_\beta) = \alpha(H) B(X_\alpha, X_\beta)$

$$= -B(X_\alpha, [H, X_\beta]) = -\beta(H) B(X_\alpha, X_\beta)$$

$$\Rightarrow (\alpha+\beta)(H) B(X_\alpha, X_\beta) = 0$$

if  $\alpha+\beta \neq 0 \Rightarrow$

$$B(X_\alpha, X_\beta) = 0$$

Proof 3:

$\text{ad}_{X_\alpha} \text{ad}_{X_\beta}$  is nilpotent by (b).

For  $z \in \eta$        $\text{ad}_Y(z) = -\beta(z)Y$

$\Rightarrow \text{ad}_X \text{ad}_Y(z) = -\beta(z)[X, Y] \in \mathfrak{g}_{\alpha+\beta} \neq \eta$

$\Rightarrow \text{tr}(\text{ad}_X \text{ad}_Y) = 0$ , by the definition. Could be zero if  $\alpha+\beta \notin \Delta$ .

(e) If  $-\alpha \notin \Delta \Rightarrow \forall \beta \neq -\alpha, \mathfrak{g}_\beta$  is orthogonal to  $\mathfrak{g}_\alpha$  w.r.t  $B$ .

$\Rightarrow \mathfrak{g}_\gamma \quad \gamma \in \Delta$  all orthogonal to  $\underline{\mathfrak{g}_\alpha}$  w.r.t  $B$ .

this include  $\eta$  since  $\eta = \mathfrak{g}_0$

$\Rightarrow \alpha + 0 = \alpha \neq 0 \quad \mathfrak{g}_\alpha$  is orthogonal to  $\mathfrak{g}_0$

$\Rightarrow B(X, z) = 0 \quad \forall z \in \eta$

A contradiction as  $B$  is non-degenerate.

(f)  $B$  is non-degenerate. ~~&~~

$B(\eta, \mathfrak{g}_\alpha) = 0 \quad \forall \alpha \in \Delta$

$\Rightarrow B|_\eta$  must be non-degenerate

otherwise  $\exists X \in \eta \quad B(X, z) = 0 \quad \forall z \in \eta$

Then  $\forall Y \in \mathfrak{g} \quad Y = z_0 + \sum X_\alpha$

$B(X, z_0) + \sum B(X, X_\alpha) = 0 \Rightarrow X \in \text{Rad}(B)$

② Now,  $\forall \alpha(H) \neq 0$  — a linear functional of  $\eta$ .

$\Rightarrow H_\alpha \in \eta$

$B(H_\alpha, H) = \alpha(H)$

$H = \sum h^i e_i$   
 $\alpha(H) = \sum h^i \alpha_i$   
 $\alpha_i = \alpha(e_i)$

$H_\alpha = \sum y^i e_i$

$y^i h^j B_{ij} = \alpha_j h^j$

$\Leftrightarrow y^i B_{ij} = \alpha_j$

Since  $(B_{ij})$  is non-degenerate.

Such a solution exists. It is also unique!

$B(H_\alpha, H_\beta) = \alpha(H_\beta) = \beta(H_\alpha)$ , if  $\alpha, \beta \in \Delta$ .

Thm 4.6 (a)  $[X_\alpha, X_{-\alpha}] = B(X_\alpha, X_{-\alpha}) \cdot H_\alpha$

$X_\alpha \in \mathfrak{g}_\alpha$   
 $X_{-\alpha} \in \mathfrak{g}_{-\alpha}$

(b)  $B(H_\alpha, H_\alpha) \neq 0$

(c)  $\dim \mathfrak{g}_\alpha = 1$ .

PF:  $B([X_\alpha, X_{-\alpha}], H) = -B([H, X_\alpha], X_{-\alpha})$

(a)  $= -\alpha(H) B(X_\alpha, X_{-\alpha})$

$= +B(H_\alpha, H) B(X_\alpha, X_{-\alpha})$

$= B(B(X_\alpha, X_{-\alpha}) H_\alpha, H), \forall H.$

$\Rightarrow [X_\alpha, X_{-\alpha}] = B(X_\alpha, X_{-\alpha}) H_\alpha$

(since  $[X_\alpha, X_{-\alpha}] \in \eta$  by (b) of Thm 4.5)

&  $B$  is non-degenerate on  $\eta \Rightarrow$  (a).

If such  $X_{-\alpha}$  does not exist (b) of Thm 4.5  $\Rightarrow X_\alpha \in \text{Rad}(B)$

Choose one  $X_{-\alpha}$  such that  $B(X_\alpha, X_{-\alpha}) \neq 0$

By scaling we may choose  $X_{-\alpha}$   $B(X_\alpha, X_{-\alpha}) = 1$

For (b) We first need a claim.

Digression: two linear algebra results.

Thm A: If  $\mathcal{I}$  is a family of diagonalizable linear operators over

$V$  a vector space. Then  $\exists$  basis of  $V$  every  $f \in \mathcal{I}$  is diagonal

Hoffman & Kunze: LH Thm 8 of p 207.

Thm B: Every normal operator is diagonalizable. Hoffman-Kunze P. 317. Corollary

$$T \text{ normal} \Leftrightarrow TT^* = T^*T.$$

Claim: Given  $\alpha \in \Delta, \exists \beta \in \Delta, B(H_\alpha, H_\beta) \neq 0$ .

Thm 4.5 (d) also works for  $\eta$

$$B([H \ H'], X_\alpha) = -B(H', \alpha(H)(X_\alpha))$$

$$= -\alpha(H) B(H', X_\alpha)$$

Hence if we pick  $H, \alpha(H) \neq 0 \Rightarrow B(H', X_\alpha) = 0$

$$B(\eta, q_\alpha) = 0 \quad B(q_\alpha, q_\beta) = 0 \quad \text{if } \alpha(H) + \beta(H) \neq 0.$$

Hence if  $B(H_\alpha, H_\beta) = 0 \quad \forall H_\beta \in \Delta \Rightarrow B(H_\alpha, \eta) = 0$  which is

Contradictory to  $B|_\eta$  is non-degenerate.

$$\exists X_{-\alpha} \in q_{-\alpha} \quad B(X_\alpha, X_{-\alpha}) \neq 0 \quad \text{otherwise } B(X_\alpha, Y) = 0 \quad \forall Y \in q_{-\alpha}$$

$$B(X_\alpha, \eta) = 0 \quad B(X_\alpha, X_\beta) = 0 \quad \text{if } \alpha + \beta \neq 0 \quad \text{Hence } B(X_\alpha, q_\beta) = 0$$

$$\underline{\forall \beta \neq -\alpha}$$

$$\Rightarrow B(X_\alpha, q_\beta) = 0 \quad \text{A Contradiction!}$$

Now if  $B(H_\alpha, H_\beta) = 0 \quad \forall H_\beta \in \Delta$

$\Rightarrow B(H_\alpha, z) = 0 \quad \forall z \in \eta \Rightarrow H_\alpha = 0$ , A Contradiction!

Now in (a), we may choose  $X_{-\alpha}$  such that  $B(X_\alpha, X_{-\alpha}) = 1$

Consider the action of  $\text{ad}_{H_\alpha}$  on  $S = \sum_{n \in \mathbb{Z}} \omega_n \cdot d_{\beta+n\alpha}$

Since  $H_\alpha = [X_\alpha, X_{-\alpha}] \Rightarrow$

$$\text{tr}(\text{ad}_{H_\alpha}|_{\mathfrak{V}}) = \text{tr}([\text{ad}_{X_\alpha} \text{ad}_{X_\alpha}]) = 0$$

namely  $\text{ad}_{H_\alpha}|_{\mathfrak{g}_\nu} = \gamma(H_\alpha) \text{id}$

$$\& \quad [H_\alpha \mathfrak{g}_\nu] = \gamma(H_\alpha) \mathfrak{g}_\nu \quad \forall \mathfrak{g}_\nu$$

[Here we have used implicitly  $\mathfrak{S}$  is invariant under  $\text{ad}_{X_\alpha}$ ,  $\text{ad}_{X_{-\alpha}}$  &  $\text{ad}_{H_\alpha}$ ]

$$\Rightarrow \text{tr}(\text{ad}_{H_\alpha}) = (\beta + n\alpha) \cdot (H_\alpha) \dim(\mathfrak{g}_{\beta+n\alpha})$$

$$\Rightarrow \underbrace{\beta(H_\alpha)}_{\neq 0} \underbrace{\sum_n \dim(\mathfrak{g}_{\beta+n\alpha})}_{> 0} = \alpha(H_\alpha) \sum_n n \dim(\mathfrak{g}_{\beta+n\alpha})$$

$\Rightarrow \alpha(H_\alpha) \neq 0$ .

Namely  $\beta(H_\alpha, H_\alpha) \neq 0$ .

(c) Apply a similar argument to

$$\mathfrak{S} = \mathbb{C}X_{-\alpha} + \mathbb{C}H_\alpha + \sum_{n \geq 1} \mathfrak{g}_{n\alpha}$$

$\text{ad}_{H_\alpha}$  invariant

$\text{ad}_{X_\alpha}$  invariant

$\& \text{ad}_{X_{-\alpha}}$  invariant.

$$\text{ad}_{X_\beta}(\mathfrak{g}_\delta) \in \mathfrak{g}_{\beta+\delta}$$

Apply the computation of  $\text{tr}(\text{ad}_{H_\alpha}|_{\mathfrak{S}})$ .

$$\Rightarrow \text{ad}_{H_\alpha}(X_{-\alpha}) = (-\alpha)(H_\alpha) X_{-\alpha}$$

$$\text{ad}_{H_\alpha}(H_\alpha) = 0$$

$$\text{ad}_{H_\alpha} \Big|_{\mathfrak{g}_{n\alpha}} = n\alpha(H_\alpha) \text{ id.}$$

$$\Rightarrow 0 = -B(H_\alpha, H_\alpha) + B(H_\alpha, H_\alpha) \sum_{n \geq 1} n \dim(\mathfrak{g}_{n\alpha})$$

Hence

$$0 = -1 + \sum_{n \geq 1} n \dim(\mathfrak{g}_{n\alpha})$$

$$\Rightarrow \dim(\mathfrak{g}_{\alpha}) = 1 \quad \& \quad \dim(\mathfrak{g}_{n\alpha}) = 0 \quad \forall n \geq 2.$$


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③ Remarks:

$$a: G \rightarrow \text{Aut}(G)$$

$$a_g \text{ or } a(g): h \rightarrow ghg^{-1}$$

$$\text{Ad}: G \rightarrow \text{Aut}(\mathfrak{g})$$

$$\text{Ad}_g = d\hat{a}_g \quad x \rightarrow \frac{d}{dt} \Big|_{t=0} (g \exp(tX) g^{-1})$$

$$\text{ad}: \mathfrak{g} \rightarrow \text{End}(\mathfrak{g})$$

$$\text{ad}_x := d(\text{Ad}) \quad \text{ad}_x(Y) = \frac{d}{dt} \Big|_{t=0} \text{Ad}_{\exp(tX)}(Y)$$

$$\varphi(\exp(tX)) = \exp(t d\varphi(X)).$$

E.g.

$$\text{If } [X, Y] = 0 \quad \exp(tX) \exp(sY) \exp(-tX) = \exp(sY).$$

$$\text{LHS} = a_{\exp(tX)}(\exp(sY)) = \exp\left(s \text{Ad}_{\exp(tX)}(Y)\right)$$

$$\text{Ad}_{\exp(tX)}(Y) = e^{t \text{ad}(X)}(Y) = Y \quad \text{by } [X, Y] = 0$$

Hence LHS =  $\exp(sY)$ .

Namely in order to understand the group structure of  $G$   
 we reduce it to understand  $ad_x : \mathfrak{g} \rightarrow \mathfrak{g}$  linear maps.  
 which is the 2nd derivation of the group structure to some  
 degree.

$$Ad: G \rightarrow GL(n, \mathfrak{g})$$

$$ad: \mathfrak{g} \rightarrow \mathfrak{gl}(n, \mathfrak{g}).$$

$ad$  is a faithful representation if  $\mathcal{K}(\mathfrak{g}) = \{0\}$

Namely if  $ad_x = 0 \Rightarrow x = 0.$   
 $\Downarrow$   
 $[x, Y] = 0 \forall Y$

This proves  $\mathfrak{g} \cong ad(\mathfrak{g}) \subset \mathfrak{gl}(n, \mathbb{C})$ . Namely the Ado's theorem  
 for this special case.

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What is a root?  $\sim$  "quantum eigenvalue"

$$\exists \{X_i\} \forall H \in \mathfrak{h} \quad ad_H \text{ is diagonal.}$$

$\mathfrak{h}$  is a Cartan  
 subalgebra of  $\mathfrak{g}$  -  
 a complex semi-simple  
 Lie algebra.

namely  
 $ad_H(X_i) = \lambda_i(H) X_i$

It can be checked,  $\lambda_i$  is linear. Hence  $\lambda_i \in \mathfrak{h}^*$

Such an  $\lambda_i(H)$  is called a root.

$$\lambda_i(H) \text{ solves } \det(ad_H - \lambda id) = 0.$$

root space  $\mathfrak{g}_\alpha = \{x \mid ad_H(x) = \alpha(H)x\}$ . Namely the  
 eigenspaces for the eigenvalue  $\alpha$ .